

# Heptagon Wafer-Level Offerings for Emerging Applications





- Company Overview
- Foundry Service and Typical Applications
- Added Value to Customers
  - Benefits of Wafer-Level Optics (WLO) Technology
  - How WLO Technology Enables Your Applications
  - Ensuring the Performance and Reliability of Our Products
  - Work with Us From Design to Mass Production
- Summary

## **Focuslight Overview**



- Founded in 2007 by Dr. Victor X. Liu, headquartered in Xi'an, China.
- A fast-growing company that develops and manufactures:
  - High-power diode laser components and materials (Photon Generation)
  - Laser optics components (Photon Control)
  - Photonics module and system solutions (Application Solutions) focusing on optical communication, automotive, pan-semiconductor, and medical and health applications.
- A **global photonics foundry** offering process development and manufacturing services to the global photonics community.
- Publicly listed in the Shanghai Stock Exchange (Ticker Symbol: 688167).











### **Milestones**







Line Beam LiDAR Transmitter Module awarded nomination from **European Tier 1** 

**IPO** 

Successful IPO

2021



2024

Acquisition of ams OSRAM's optical component assets;

Adopt Heptagon brand for global photonics foundry services



2019

Production of microoptics on world's largest glass wafer (300 x 300 mm<sup>2</sup>)



2024

Acquisition of SUSS MicroOptics



**Focuslight Confidential** 

#### 2013

World's first monograph on packaging of **HPDL** published



Technology

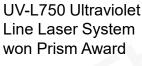
2017

#### 2018

breakthrough of gold-

tin film deposition

Line Laser System won Prism Award





Automotive LiDAR

transmitter project

international Tier 1

awarded from

## **FOCUSLIGHT**

at Shanghai Stock Market

2019

Global branding identity upgrade



#### 2007

Founding of Focuslight



2017

Acquisition of LIMO;

Started providing photon control and photonics application solutions



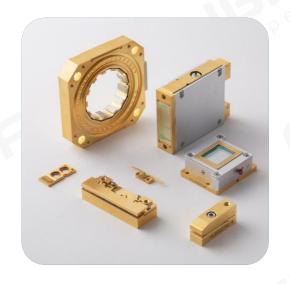
2019

2018

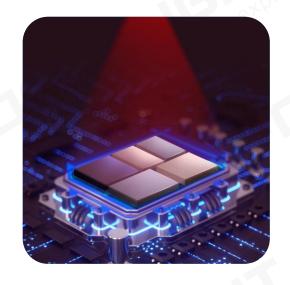
Dongguan delivery and high-volume manufacturing center officially in operation

## **Products and Businesses**











Photon Generation



Photon Control



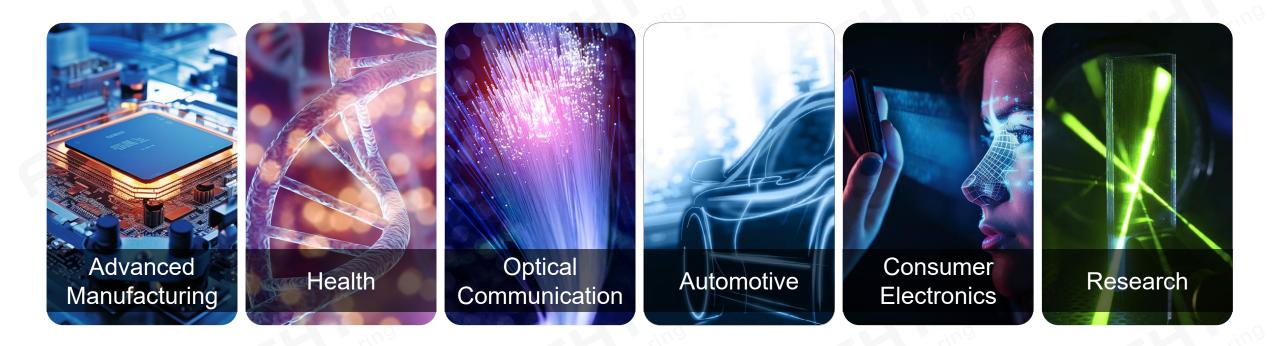
Photonics Application Solutions



Global Photonics Foundry

### **Markets**





Be the global trusted photonics solution provider through innovation, manufacturing excellence and fast response

## **Focuslight Global Operations System**



Leverage the strengths and capabilities of each location to cater to specific customer demands and optimize operational efficiency.

Through centralized decision-making, integrated operations, and lean management, a high-efficiency, low-cost global operations system is established.



Neuchâtel, Switzerland Operation Center



Dortmund, Germany
Operation Center



Xi'an, China
Focuslight HQ, Operation Center





Zurich, Switzerland R&D Office



Ang Mo Kio (AMK), Singapore
Operation Center
Business Center

Other Southeast Asian regions (to be decided)
Photonics Foundry



Shaoguan, China Operation Center



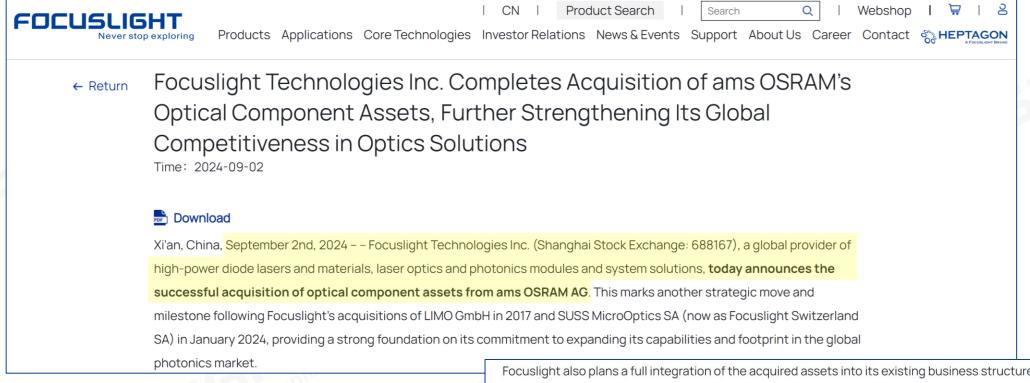
Haining, China

Hefei, China Operation Center (being constructed)

Dongguan, China Operation Center

## Heptagon is Back as a Focuslight Brand





News source: <a href="https://focuslight.com/news-events/newslist/focuslight-technologies-inc-completes-acquisition-of-ams-osrams-optical-component-assets-further-strengthening-its-global-competitiveness-in-optics-solutions/">https://focuslight.com/news-events/newslist/focuslight.com/news-events/newslist/focuslight.com/news-events/newslist/focuslight.com/news-events/newslist/focuslight.com/news-events/newslist/focuslight.com/news-events/newslist/focuslight-technologies-inc-completes-acquisition-of-ams-osrams-optical-component-assets-further-strengthening-its-global-competitiveness-in-optics-solutions/">https://focuslight-technologies-inc-completes-acquisition-of-ams-osrams-optical-component-assets-further-strengthening-its-global-competitiveness-in-optics-solutions/</a>

Focuslight also plans a full integration of the acquired assets into its existing business structure. The company will reorganize and integrate certain assets into its Automotive Business Unit to strengthen its capabilities in serving global automotive customers. A **Strategic Growth Division** will be established to house the R&D teams and equipment related to consumer electronics, disposable medical solutions, and other emerging applications. All products associated with these assets will be unified under the **Focuslight** brand.

Meanwhile, a Global Photonics Foundry Business Unit will be established serving as a global center for photonics industry process development and manufacturing services under the historic Heptagon brand, which was originally founded in 1993 and will now continue its legacy of innovation, quality, and high-volume manufacturing under Focuslight's global operations, transforming its customer's ideas and designs into industry-powering photonics solutions.

## **Story of Heptagon**



Initially founded in 1993, Heptagon was a prominent brand in the micro-optics industry, known for its advanced optical packaging and wafer-level micro-optical modules, as well as high-volume manufacturing powering consumer electronics applications.

Now, under the frame of Focuslight global operations, Heptagon will continue to be the official brand name of your Global Photonics Foundry Services.

Visit <u>www.hptg.com</u> for more information



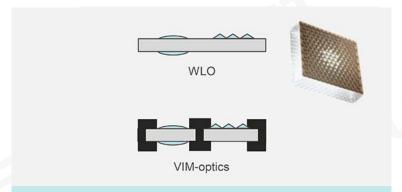




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## **Global Photonics Foundry Services**

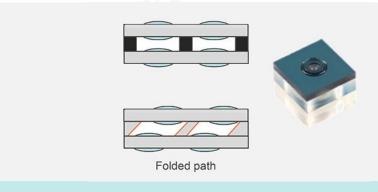




#### **Wafer Level Optics**

Imprinted optics from mm to nm scale

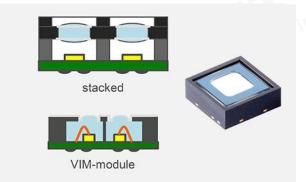
- Diffractive and refractive optics
- Micro lens arrays (MLAs)
- Diffusers



#### Wafer Level Stacking

From imprinted optics from mm to nm scale

- Imaging lenses
- Projector lenses



#### **Wafer Level Integration**

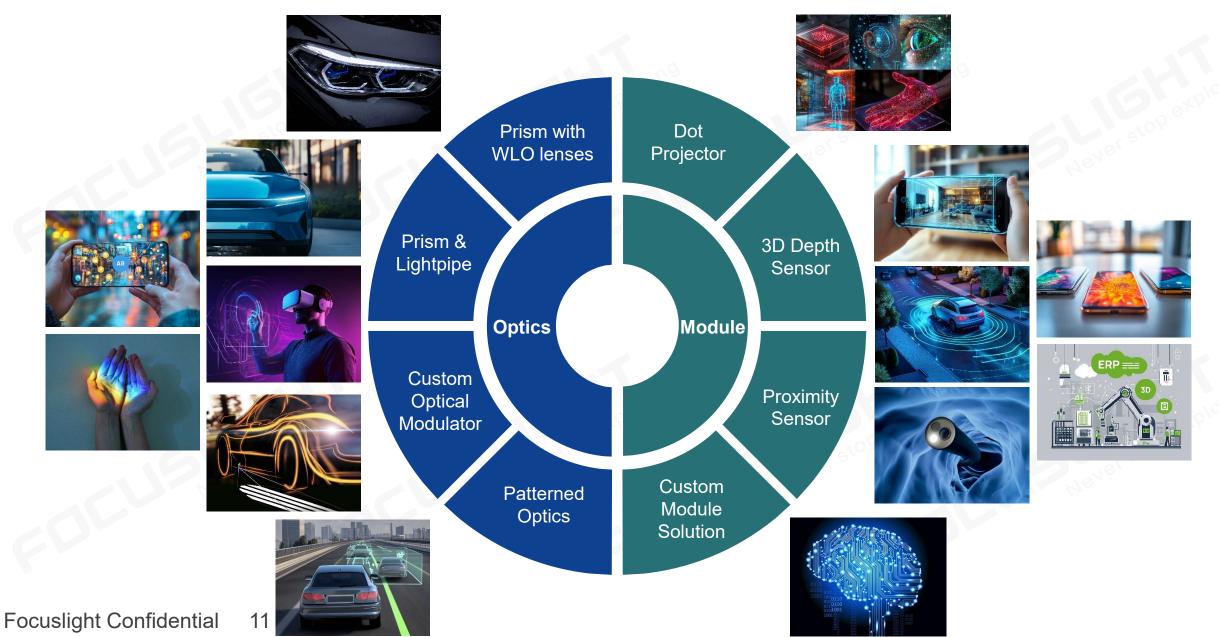
From imprinted optics from mm to nm scale

Optical sensor and illumination modules

With the Heptagon legacy of know-how in wafer-level technologies, excellent design, development, and mass production capabilities of micro-optics based solutions, the foundry will be a global hub for photonics process development and manufacturing to the global photonics community, offering manufacturing facilities worldwide based on customer needs.

## **Wide Application Spectrum of Solutions**





## **Typical Application and Products**

## ON HEPTAGON

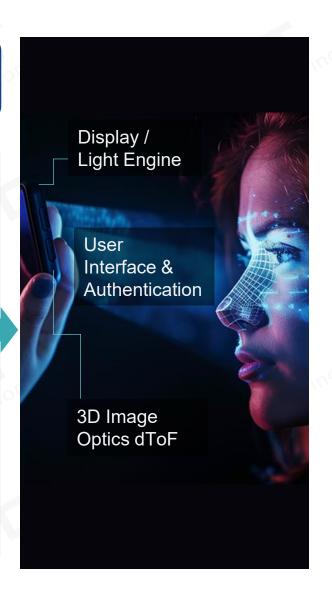
#### **Consumer Electronics**



## Optical Sensing, Empowering AR/VR and AI to See

- Multi-aperture wafer-level optical lens for AR-Light Engine
- Micro-optics Modules for Vis & NiR solutions, addressing mm to infinity performance, multiple FoV options including ultra-wide
- Wafer level stacking that is fully reflowable as per SMT IC's, allowing for high volume manufacturing
- Leading thermal performance, ensuring simple thermal design

- Face ID, Under-Display Face ID
- Multi-Zone 3D sensor solutions, including dToF, Proximity sensor optics
- Complex Micro Dot Projectors Optics for multiple consumer applications
- Leading thermal performance, ensuring simple thermal design



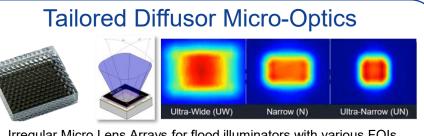
## **Typical Application and Products**

HEPTAGON

Automotive, Robotics, Medical







Irregular Micro Lens Arrays for flood illuminators with various FOIs

## **Pattern-Generating Micro-Optics**





MLA-based dot projectors

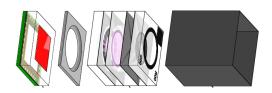






**Decorative Lighting** 

#### Imaging and Projection Micro-Optics



WLO lens & camera integration for chip-on-tip medical endoscopes





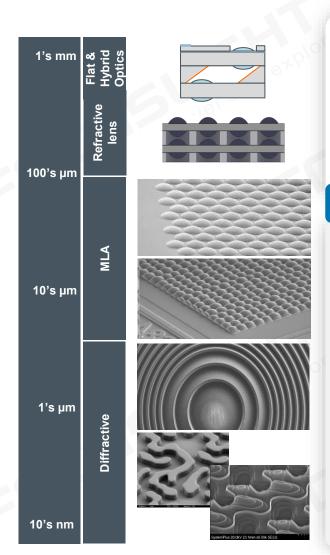


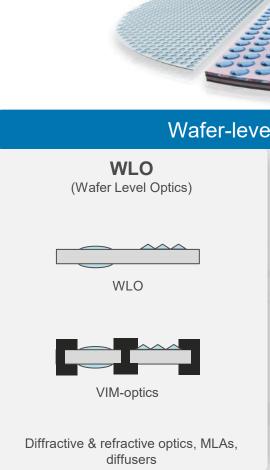
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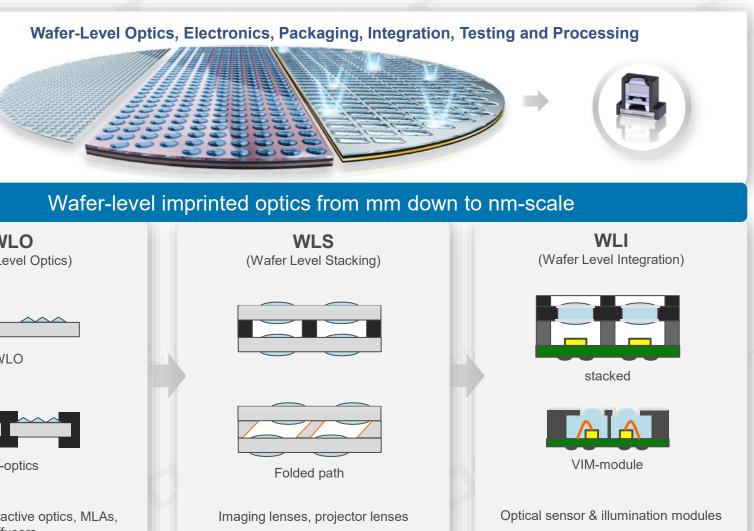
## Wafer Level Optics – Common Technology Base



Design for Manufacturing by In-House Wafer Level Optics & Stacking Technology

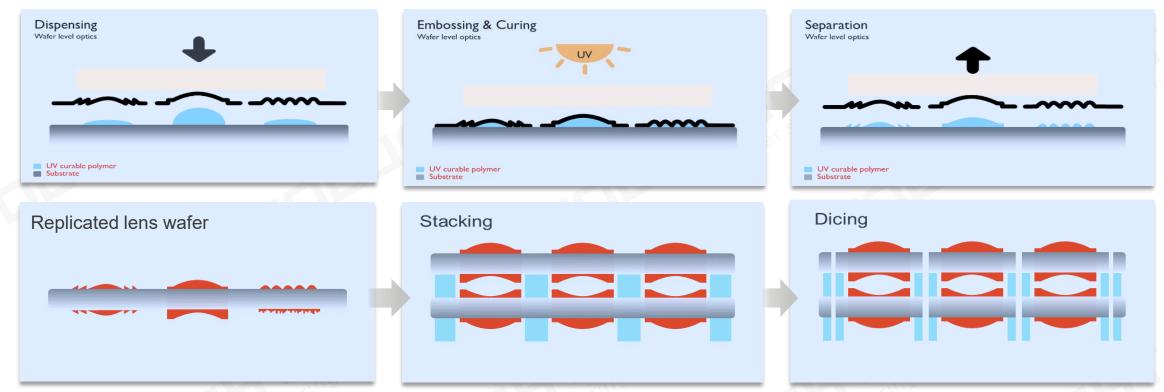






## Wafer Level Optics – Basic Manufacturing Process





#### **WLO Competitive Advantages:**

- · Full wafer-scale process for high volume mass production
- UV curing, Low temperature, low pressure process
- Conformal, microfluidic filling for micro/nano structures
- Conformal reproduction of features less the 1µm
- Reflowable optical material with high thermal performance

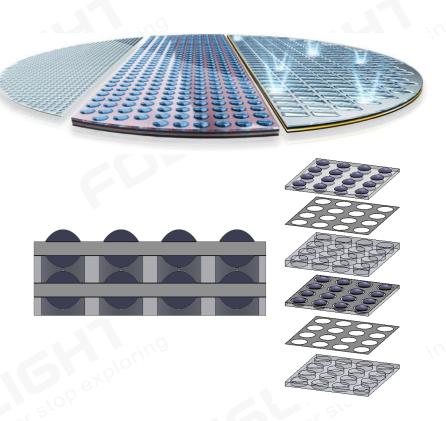
#### **Unique Advantages for High Performance u-Camera**:

- Full Solution reflowability, no Compromise of Function, Fit, Cosmetics
- No barrels, mounts, highly compact, highly integrated optical solutions
- Miniaturization (mm²) flexibility, advanced capabilities, performance
- Concepts to ensure quality mass production volume solutions
- Active Alignment to sensor delivering μWLO+Image Sensor Modules

## **Benefits – Wafer-Level Optics and Stacking**



- Wafer-Level Optics (WLO) is an extremely high-precise fabrication technology for micro-optics at large volume
  - ✓ Wafer-scale process rapidly scalable for mass production
  - ✓ Tends to be profitable at high volume (MP >100 wafers per production) due to relatively high master & tooling cost
- Wafer-Level Stacking (WLS) enables high-performance and highly integrated micro-optical system products
  - ✓ Micron-level precision stacking of multiple optics wafers using leading-edge mask aligners
  - ✓ Wafer-scale bonding using rigid spacers and materials with excellent thermal and mechanical stability
  - ✓ Economic wafer-scale integration of added functions such as apertures, coatings, spectral filters, a.o.



- WLO & WLS benefits can be leveraged best in high-volume markets such as Consumer Electronics, AR/VR & Automotive
- Committed to providing reliable, high-performance WLO products and superior development services to our customers

## **Benefits – Wafer-Level Integration**



Better usage of real estate, more design freedom, simpler assembly

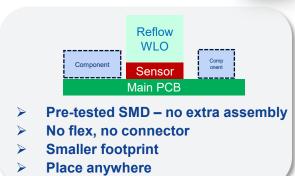
Complete reflowable camera solutions for miniature CSP sensors:

- ✓ Integrated optical filters
- ✓ Anti-reflection technology
- ✓ Ultra-thin light sealing no barrels or mounts

#### **WLO Reflowable Module**

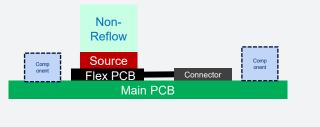
- **Use as SMD**
- No extra assembly, less real estate
- More design freedom



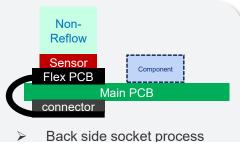


#### Non-Reflowable Module

- Extra assembly post-reflow
- More real estate: flex & connector must be accommodated
- Less design freedom



- Front side socket process
- Occupies more space



- Must be close to PCB
- edge (back side loop)

Reflowable WLO-lens systems enable smallest footprint sizes for micro-camera devices and their integration

## **How WLO Technology Enables Your Applications**



Developing Customized Wafer-Level Optics Solutions for our Customers

Customer Concepts, Requirements... Target application Spec Sharing



#### **SmartPhone**

FaceID dToF μCam (Vis/NIR)

#### **Automotive**



MLA/DOEs µProjectors μCam (NIR)



**Smart Watch** MLA Lenses µCam NIR **µLED** Projectors

**Smart Audio** 

µCam NIR

dToF.

#### AR/VR/MR



Tracking µCam (Eye/Face/Gesture/World) LED/Laser Projectors

Imaging µCam (Visible/NIR/Slam)

MicroDisplay 3D/dToF

Micro-Projector ALS/Prox

Many More...

**Optical Component** System Level Solutions

#### **Optics Solution Provider**

"From concept idea to mass production solution"

> Feasibility Study. Product Design, **POC-Sampling** Validation, Product Development, Qualification. Mass Production



Delivering the Optic or Module to Customer, Semicon or indeed ISP partner

**Final Solutions** Module or Application Level

Illuminators

µMLA, Lenses

Optical 3D-Sensors

Optical 3D-**Projectors** 

**µ**Camera Optic/Module μCamera Sensing

**AR-Display** Engines

Micro-Projectors

**USP for WLO, WLS, WLI** 

- Outstanding Performance
- Micro Size
- Reflowability
- High Volume Manufacturing

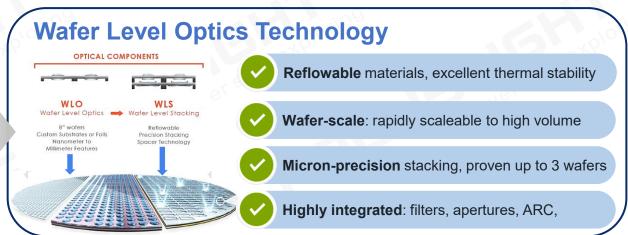
Wafer-Level Optics solutions are generally **customer and application specific**, due to specific targets as well as specific semiconductor light source, µ-display and light detector components

## **Example: Micro-Camera Modules Empowered via WLO Imaging**



Enabling Performance, Flexibility, fully Reflowable Micro-Camera for multi-vision applications





#### **Target Applications**

✓ AV/VR/MR

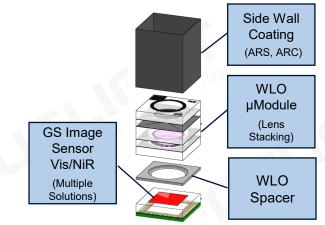
Eye-Tracking μCam Gesture Tracking μCam World Tracking μCam Ai Assistance μCam

√ Consumer Spectral Response

Gesture Tracking µCam
World Tracking µCam
Ai multispectral Assistance µCam

✓ **Service Robotics Spectral Response**World Tracking µCam
Ai Assistance µCam

#### **Reflowable Micro-Camera Module Solutions**



## Extremely compact NIR-Camera module

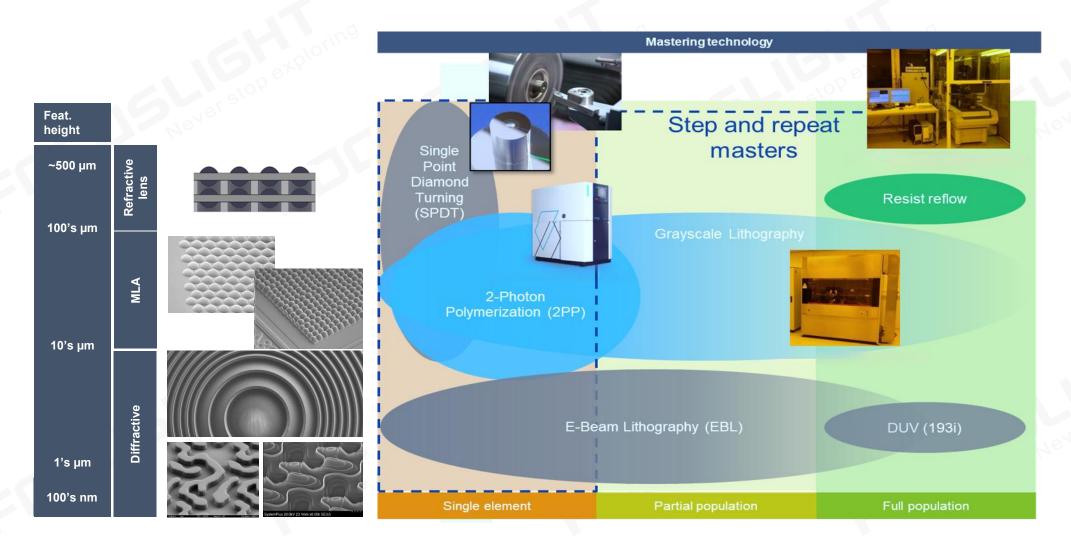
- Customizable for various NIR-bands (e.g. 850nm, 940nm) with integrated bandpass filter, additional colour filters
- √ Flexible in application use case
  - Same design can be set up for <u>infinite</u> or <u>finite / close-up</u> working distance [~25mm...infinity]
- √ Easy overall system integration
  - Re-flowable CSP-camera module can be processed similar to SMD

Reflowable WLO-lens systems enable smallest footprint for micro-camera devices with full integration, allowing simplicity to market, ease of manufacturing capabilities (SMT reflow) and costs...

## Our Mastering Capabilities – All Starts from Here



Our R&D Lab and Equipment



## **Ensuring the Optical Performance of Products**



#### **Metrology Capabilities**



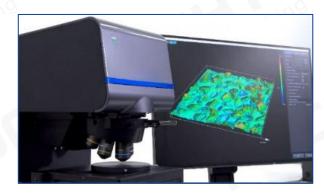
**Coordinate Measuring Machine** 



Contour Measuring Instrument



White Light Interferometer



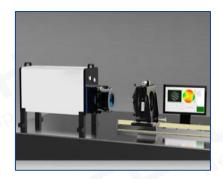
**Confocal Microscope** 



**SEM** 



**Precision Goniometer** 



Large-diameter horizontal planar & cylindrical interferometer



Full Size Measuring Instrument



Off-Center Measuring Instrument



Ion-miller



**3D Optical Profiler** 

## **Ensuring the Product Reliability**



Temperature Shock Chamber x3

Temp. range: -55~150°C Thermal shock (Switching

time≤1min): <30s



Vibration table x1 10000kgf max force; 2~2500Hz frequency, 2m/s max speed



Thermal Cycling Chamber x3
Temp. range: -55~150°C
Heating/freezing rate: ≤15°C/min



Salt Spray Test device x1 According to ISO 9227:2017



High / Low Temperature & High Humidity Chamber x5

Temp. range: -55~150°C R.H. range: 20~98% RH



Xenon lamp aging tester x1 According to DIN 75220





High-pressure boiling testing chamber x1

Temp. range: 100~132°C R.H. range: 100% R.H.

Pressure: <5atm



**Reflow Oven x1** 

## Work with Us – From Concept to Mass Production



Value Chain for Customer-Specific Micro-Optics Solutions



#### DFM\* Stage

Outcome: Fullfleshed Design for WLO-Technology, Manufacturability and Risk Analysis

\*DFM: Design For Manufacturing

## Product Validation & Qualification

Outcome: 2<sup>nd</sup> or x<sup>th</sup> Gen working samples, Creation of Product Documentation (e.g. delivery req. specifications, drawings, technical datasheets etc.), cost analysis

#### **Mass Production**

WLO Components fully compliant according to Product Requirements and Test Specs.

## Design Feasibility Study

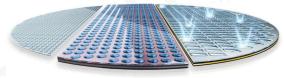
Outcome: Concept & prelim. Design (fulfilling requirements, dimensions, est. cost),

## Design Verification / POC Stage

Outcome: 1<sup>st</sup> Gen Working Samples manufactured, testing data results for e.g. yield analysis

#### Ramp-Up

Wafer Level Optics (WLO)

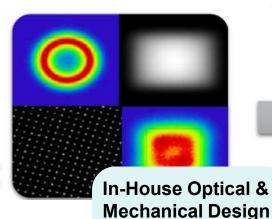


In-House Skills and Capabilities, Delivering Concepts, Design using DFM Development Cycle and Testing Ensuring high volume manufacturing, with reliability delivering proven Quality

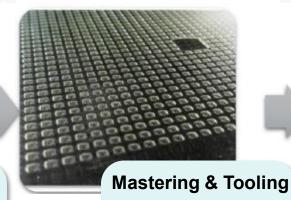
## Work with Us – From Concept to Mass Production



Full-Scale Capabilities for the Whole Process



- Location: Switzerland
- Ray trace and wave optics
- Full CAD capacity
- Physics simulation
- Single-Element to Full System Designs



- Master in-house and 3rd party
- Tooling: in-house
- Locations:
   Singapore and
   Switzerland
- Wide range of micro- and nanostructures possible



#### In-House Wafer Rep., Stacking and BE Process

- Location: Singapore
- Epoxy on glass, multiple materials options available
- Capacity for high volume production of micro-optics



- In-House Optical & Final Testing
- Location: Singapore
- High UPH
- Wafer level, unit level, and module level testing with standard and customized systems

In-House Vertically Integrated Capability: Design, Development, Manufacturing, Reliability and Optical Test

### **Business Models**



1

Based on the available technical capabilities, we provide our **product portfolio** (standard, customizable) or pure custom **Focuslight designs**.

The customer can then integrate these **Focuslight products** into their application solutions.



2

Based on the available technical capabilities, we cooperate with the customer, provide our **foundry service** to convert **customer's designs** into mass produced products.

These products will then be the **customer's own** products.



Both business models (products and foundry service) share the same technology base, yet they power the customer's business in different ways.

## **Summary**

- 30+ Years of Optical Design & Simulation + Volume Production Expertise
- Advanced & Unique Wafer-level-Technologies to Provide Various Optical Solutions
- Reliable, Stable Quality + High Precision Products for Various Applications
- Fast Response + Customized Service Available

Your committed and reliable long-term partner in photonics application solutions

## THANK YOU









